

WELLS  
FARGO

WA 3019  
17D  
5/1/13  
CTS CMES LOS ANGELES CA  
CORPORATE TRUST SERVICES  
MAC E2818-176  
707 WILSHIRE BOULEVARD, 17TH FLOOR  
LOS ANGELES, CA 90017-3501

**J.H. BAXTER & COMPANY TRUST  
REGULATORY TRUST**

ACCOUNT NUMBER 10742200  
ANNUAL STATEMENT  
MAY 1, 2013 THROUGH APRIL 30, 2014

U.S. ENVIRONMENTAL PROTECTION AGENCY  
ATTN: KIMBERLY OGLE, REGION 10  
1200 SIXTH AVE., MAIL STOP WCM-126  
SEATTLE, WA 98101

ACCOUNT MANAGER: KHEANG B. TAN  
TELEPHONE NUMBER: 213-614-4117

ADMINISTRATOR: MORA KANG  
TELEPHONE NUMBER: 213-614-4116

12114 SB

WA 3019  
17D  
4/30/2014



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WELLS  
FARGO

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FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014

J.H. BAXTER REG TRUST  
ACCOUNT NUMBER 10742200

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ASSET SUMMARY  
AS OF APRIL 30, 2014J.H. BAXTER REG TRUST  
ACCOUNT NUMBER 10742200

## ASSET SUMMARY

| INVESTMENT CATEGORY | COST VALUE | MARKET VALUE | UNREALIZED<br>GAIN/LOSS | ACCRUED<br>INCOME |
|---------------------|------------|--------------|-------------------------|-------------------|
| CASH                | 0.00       | 0.00         |                         |                   |
| CASH EQUIVALENTS    | 12,009.38  | 12,009.38    | 0.00                    | 0.10              |
| TOTAL INVESTMENTS   | 12,009.38  | 12,009.38    | 0.00                    | 0.10              |

STATEMENT OF ASSETS  
AS OF APRIL 30, 2014J.H. BAXTER REG TRUST  
ACCOUNT NUMBER 10742200

## STATEMENT OF ASSETS

| PAR VALUE/SHARES       | DESCRIPTION   | COST VALUE<br>/ UNIT COST | MARKET VALUE<br>/ UNIT PRICE | UNREALIZED<br>GAIN/LOSS | ACCRUED INCOME |
|------------------------|---|---------------------------|------------------------------|-------------------------|----------------|
| CASH EQUIVALENTS       |   |                           |                              |                         |                |
| 12,009.38              | WELLS FARGO ADVANTAGE GOVERNMENT<br>MONEY MARKET SERVICE - #743 | 12,009.38<br>1.000        | 12,009.38<br>1.000           | 0.00                    | 0.10           |
| TOTAL CASH EQUIVALENTS |   | 12,009.38                 | 12,009.38                    | 0.00                    | 0.10           |
| TOTAL INVESTMENTS      |   | 12,009.38                 | 12,009.38                    | 0.00                    | 0.10           |

Values reflected for publicly-traded assets are from unaffiliated financial industry sources believed to be reliable. Values for non-publicly traded assets may be determined from other unaffiliated sources. Assets for which a current value is unavailable may be reflected at the last reported price, at par, or may be shown as having nominal or no value. Reported values may not be the price at which an asset may be sold. Asset values are updated as pricing becomes available from external sources, and may be updated less frequently than statements are generated.

CASH SUMMARY  
FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014

J.H. BAXTER REG TRUST  
ACCOUNT NUMBER 10742200

## CASH SUMMARY

| DESCRIPTION                    | PRINCIPAL CASH | INCOME CASH |
|--------------------------------|----------------|-------------|
| BEGINNING BALANCE              | 0.00           | 0.00        |
| RECEIPTS                       |                |             |
| NET INTEREST COLLECTED         | 1.04           | 0.19        |
| TRANSFER RECEIPTS              | 0.19           | 0.00        |
| CASH MANAGEMENT SALES          | 1,500.00       | 0.00        |
| TOTAL CASH RECEIPTS            | 1,501.23       | 0.19        |
| DISBURSEMENTS                  |                |             |
| INVESTMENT MANAGEMENT EXPENSES | 1,500.00-      | 0.00        |
| TRANSFER DISBURSEMENTS         | 0.00           | 0.19-       |
| CASH MANAGEMENT PURCHASES      | 1.23-          | 0.00        |
| TOTAL CASH DISBURSEMENTS       | 1,501.23-      | 0.19-       |
| ENDING BALANCE                 | 0.00           | 0.00        |

STATEMENT OF TRANSACTIONS  
FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014J.H. BAXTER REG TRUST  
ACCOUNT NUMBER 10742200

## STATEMENT OF TRANSACTIONS

| DATE     | PAR VALUE/SHARES | DESCRIPTION  | PRINCIPAL CASH | INCOME CASH | COST VALUE       | REALIZED GAIN/LOSS |
|----------|------------------|--|----------------|-------------|------------------|--------------------|
|          |                  | <u>BEGINNING BALANCE</u>   | <u>0.00</u>    | <u>0.00</u> | <u>13,508.15</u> |                    |
| 05/01/13 |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 4/1/13 TO 4/30/13   | 0.11           |             |                  |                    |
| 06/03/13 |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 5/1/13 TO 5/31/13   | 0.11           |             |                  |                    |
| 06/19/13 |                  | TRUSTEE FEE<br>PAID TO WELLS FARGO FEES<br>WF CTS FEES & EXPENSES -INVOICE#<br>961089      | 1,500.00-      |             |                  |                    |
| 07/01/13 |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 6/1/13 TO 6/30/13   | 0.11           |             |                  |                    |
| 08/01/13 |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 7/1/13 TO 7/31/13   | 0.10           |             |                  |                    |
| 09/03/13 |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 8/1/13 TO 8/31/13   | 0.10           |             |                  |                    |
| 10/01/13 |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 9/1/13 TO 9/30/13   | 0.10           |             |                  |                    |
| 11/01/13 |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 10/1/13 TO 10/31/13 | 0.10           |             |                  |                    |



STATEMENT OF TRANSACTIONS  
FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014J.H. BAXTER REG TRUST  
ACCOUNT NUMBER 10742200

## STATEMENT OF TRANSACTIONS

| DATE           | PAR VALUE/SHARES | DESCRIPTION   | PRINCIPAL CASH | INCOME CASH | COST VALUE | REALIZED GAIN/LOSS |
|----------------|------------------|---|----------------|-------------|------------|--------------------|
| 12/02/13       |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 11/1/13 TO 11/30/13  | 0.10           |             |            |                    |
| 01/02/14       |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 12/1/13 TO 12/31/13  | 0.11           |             |            |                    |
| 02/03/14       |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 1/1/14 TO 1/31/14    | 0.10           |             |            |                    |
| 03/03/14       |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 2/1/14 TO 2/28/14    |                | 0.09        |            |                    |
| 03/03/14       |                  | TRANSFER TO PRINCIPAL   |                | 0.09-       |            |                    |
| 03/03/14       |                  | ADDITION TO ACCOUNT<br>TRANSFER FROM INCOME   | 0.09           |             |            |                    |
| 04/01/14       |                  | INTEREST RECEIVED<br>WF ADV GOVERNMENT MM FD-SVC #743<br>INTEREST FROM 3/1/14 TO 3/31/14    |                | 0.10        |            |                    |
| 04/01/14       |                  | TRANSFER TO PRINCIPAL   |                | 0.10-       |            |                    |
| 04/01/14       |                  | ADDITION TO ACCOUNT<br>TRANSFER FROM INCOME   | 0.10           |             |            |                    |
| 04/30/14       | 1.23             | CASH SWEEP PURCHASES FOR STMT PERIOD<br>WF ADV GOVERNMENT MM FD-SVC #743<br>12 TRANSACTIONS | 1.23-          |             | 1.23       |                    |
| 04/30/14       | 1,500-           | CASH SWEEP SALES FOR STMT PERIOD<br>WF ADV GOVERNMENT MM FD-SVC #743<br>1 TRANSACTION       | 1,500.00       |             | 1,500.00-  |                    |
| ENDING BALANCE |                  |   | 0.00           | 0.00        | 12,009.38  |                    |



CASH MANAGEMENT TRANSACTION JOURNAL  
FOR THE PERIOD MAY 1, 2013 THROUGH APRIL 30, 2014J.H. BAXTER REG TRUST  
ACCOUNT NUMBER 10742200

## CASH MANAGEMENT TRANSACTION JOURNAL

| DATE     | PAR VALUE/SHARES | DESCRIPTION                                   | PRINCIPAL CASH | INCOME CASH |
|----------|------------------|---|----------------|-------------|
| 05/01/13 | 0.11             | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.11-          |             |
| 06/03/13 | 0.11             | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.11-          |             |
| 06/19/13 | 1,500            | SOLD<br>WF ADV GOVERNMENT MM FD-SVC #743      | 1,500.00       |             |
| 07/01/13 | 0.11             | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.11-          |             |
| 08/01/13 | 0.1              | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.10-          |             |
| 09/03/13 | 0.1              | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.10-          |             |
| 10/01/13 | 0.1              | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.10-          |             |
| 11/01/13 | 0.1              | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.10-          |             |
| 12/02/13 | 0.1              | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.10-          |             |
| 01/02/14 | 0.11             | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.11-          |             |
| 02/03/14 | 0.1              | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.10-          |             |
| 03/03/14 | 0.09             | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.09-          |             |

CASH MANAGEMENT TRANSACTION JOURNAL  
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## CASH MANAGEMENT TRANSACTION JOURNAL

| DATE                             | PAR VALUE/SHARES | DESCRIPTION                                   | PRINCIPAL CASH | INCOME CASH |
|----------------------------------|------------------|---|----------------|-------------|
| 04/01/14                         | 0.1              | PURCHASED<br>WF ADV GOVERNMENT MM FD-SVC #743 | 0.10-          |             |
| WF ADV GOVERNMENT MM FD-SVC #743 |                  |   |                |             |
| TOTAL PURCHASES/DEPOSITS         |                  |   | 1.23-          | 0.00        |
| TOTAL SALES/WITHDRAWALS          |                  |   | 1,500.00       | 0.00        |